

Amundi Pioneer Asset Management

Uni-K Rollover/Transfer Form

Use this form to move your retirement plan assets directly from another financial institution to Amundi Pioneer.

Mail to Pioneer Funds, PO Box 219929, Kansas City, MO 64121-9929

Overnight address: 430 W 7th Street STE 219929, Kansas City, MO 64105-1407

If you have any questions, please call our Employer-Sponsored Plans Department at 1-866-622-7815.

Rolling over an employer-sponsored plan to the Uni-K Plan®: Please check with your employer before completing to see if there are any specific requirements. To initiate a direct rollover, first complete all forms required by the employer maintaining your current plan (if any forms require Amundi Pioneer's signature, submit them along with this form).

Designated Roth Contributions: Under IRS regulations, if you made Roth contributions to your current 401(k) plan, any nontaxable amounts (designated Roth contributions or Qualified Distributions and any attributable earnings) may only be directly rolled over to the Uni-K Plan®. Taxable amounts (earnings attributable to Nonqualified Distributions) may be rolled over directly or indirectly within 60-days of receipt. Contact your tax advisor for additional information.

Note: Roth IRAs cannot be rolled into the Uni-K Plan®. You do NOT need to complete this form if your employer has already issued your distribution check; just send the check within 60 days to Amundi Pioneer along with your retirement plan application.

Please complete all sections. Please print in blue or black ink.

1 Investor Information

First Name, Middle Initial, Last Name Date of Birth (mo/day/yr) Social Security Number

Street Address Plan ID Number (if existing plan)

City State Zip Code Daytime Telephone Number

Please check this box if you are over age 70½ and need to set up required minimum distributions. (Contact Amundi Pioneer to obtain a Required Minimum Distribution Election Form.)

2 Current Retirement Account Information

Check here if your existing retirement account is invested at Amundi Pioneer.¹ (skip to part B)

A. Current Custodian/Trustee

Name of Present Custodian/Trustee or Insurance Company Telephone Number

Street Address Contact Person

City State Zip Code

B. Type of Retirement Account

Traditional/Rollover IRA SEP-IRA 403(b)/ORP³

SIMPLE IRA² Governmental 457 plan Other _____

Employer-sponsored retirement plan⁴ (including 401(k), pension, and profit sharing)

C. Account Information

Account Number(s) / Plan ID Number (if existing plan)

Please attach a copy of your most recent account statement.

¹If assets are already invested in Pioneer Mutual Funds, they will not be liquidated, but will be transferred "in kind" and re-registered with UMB Bank, n. a. as custodian/trustee.

² May only be transferred to another SIMPLE IRA during the first two years of participation in order to avoid IRS penalty.

³ Only taxable amounts in 403(b) plans (earnings attributable to nonqualified distributions) may be rolled over to the Uni-K Plan®.

⁴ Rollovers from employer-sponsored plans may require additional paperwork; please check with your employer.

(please complete reverse side)

3 Amount

Transfer/Rollover

- All assets from the retirement account(s) listed in Section 2.
- Only \$ _____ or _____% of the retirement account(s) listed in Section 2.
- Check here if you have made Roth contributions to your existing employer-sponsored retirement account. If this is a direct rollover, please provide a statement from current custodian/trustee reflecting the first year in which Roth contributions were made and the total amount of Roth contributions made under the Plan.

4 How Do You Want to Invest These Assets?

Select A or B. If this is a new plan, attach a completed *Uni-K Account Application*.

- A. Invest according to my current investment allocation OR B. Invest in the following funds (same share class)

Fund Name ¹	% of Proceeds
_____	_____
_____	_____

¹ Must be a permitted fund as allowed by the Plan Trustee.

Percentage Must total 100%

5 Signature

USA PATRIOT Act Certification: By signing below, I certify that I have received, read and understand the PATRIOT Act information provided by Amundi Pioneer, and that the information I am providing is true and accurate. I understand that Amundi Pioneer will not accept money and/or open this account on my behalf if my identity cannot be properly verified. I authorize Amundi Pioneer to inquire from any source, including a consumer reporting agency, as to my identity (as required by federal law), at account opening, at any time throughout the life of the account, and thereafter for debt collection or investigative purposes.

I authorize the liquidation of the account(s) specified in Section 2, in the amount(s) indicated, and the rollover/transfer of all proceeds to my Uni-K Plan[®] with UMB Bank, n. a. as successor custodian/trustee. I certify that: (1) the rollover of any after-tax assets is made only to a traditional IRA, Rollover IRA or another retirement plan that qualifies; (2) any contribution designated as a rollover qualifies for rollover treatment and constitutes an irrevocable election to have such amount treated as a rollover for federal income tax purposes; and (3) I have received a current prospectus for each fund selected and agree to be bound by its terms and the terms of this form. **Rolling over Designated Roth contributions from an employer-sponsored plan to Amundi Pioneer:** I understand that: (1) nontaxable amounts attributable to designated Roth contributions may only be directly rolled over from my current 401(k) plan to the Uni-K Plan[®]. Nontaxable amounts are defined as the portion of the rollover that is not subject to taxation, including designated Roth contributions made to the plan or in the case of a Qualified Distribution, designated Roth contributions and any attributable earnings; (2) if I am initiating a direct rollover, the plan administrator or other responsible party of my former plan is responsible for providing Amundi Pioneer with the year that the five-year Roth holding period began and the total amount of designated Roth contributions included in the rollover amount or a statement that the distribution is a Qualified Distribution. If no such information is provided, Amundi Pioneer will use the year in which the first designated Roth contribution was received in the Uni-K Plan[®]; and (3) Roth IRAs and nontaxable amounts attributable to Roth 403(b) accounts (designated Roth contributions made to the 403(b) plan or in the case of a Qualified Distribution, designated Roth contributions and any attributable earnings) may not be rolled into the Uni-K Plan[®].

X

Your Signature (required)

Date

Ask your present custodian or trustee if a Medallion Signature Guarantee or any additional paperwork is required to complete your transfer.

Use this space for Medallion Signature Guarantee, if required.

For your protection, a Medallion Signature Guarantee is required for certain redemption requests. Medallion Signature Guarantees may be obtained from a U.S. domestic bank or trust company, broker/dealer, clearing agency, savings association, or other financial institutions. **Notarized signatures will not be accepted.**

6 Acceptance by New Trustee (UMB Bank, n.a.)

UMB Bank, n.a. agrees to accept transfer of the above amount for deposit to the Uni-K Plan[®] with UMB Bank, n.a., as trustee and requests the liquidation and transfer of assets as indicated above. See attached Letter of Acceptance for the signature of an authorized officer of the custodial agent.

Designated Roth Amounts: As Trustee, UMB Bank, n.a. agrees to separately account for any amount that is not includible in income. **Resigning custodian/trustee:** If this is a direct rollover, please provide Amundi Pioneer with a statement verifying the year in which the first Roth contribution was made and indicate the portion of the direct rollover that is attributable to designated Roth Contributions.

1. Make check payable to Pioneer Funds for (account owner's name)
2. Include the following account number on the check: _____ .
3. Send a copy of this form with the check to Pioneer Funds, PO Box 219929, Kansas City, MO 64121-9929
4. Assets already invested in Pioneer Funds will not be liquidated, but will be transferred "in kind" and reregistered with UMB Bank, n.a. as custodian/trustee

Securities offered through Amundi Pioneer Distributor, Inc.

60 State Street, Boston, MA 02109.

Underwriter of Pioneer mutual funds, Member SIPC.

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Amundi Pioneer
ASSET MANAGEMENT